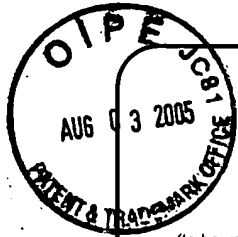


JPW

PTO/SB/21 (09-04)



# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	10/723,430
Filing Date	November 25, 2003
First Named Inventor	Neil Young
Art Unit	2635
Examiner Name	M. Horabik
Attorney Docket Number	021755-000300US

## ENCLOSURES (Check all that apply)

- |   |  |  |
|---|--|--|
| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment/Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input checked="" type="checkbox"/> Information Disclosure Statement<br><br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Reply to Missing Parts/ Incomplete Application<br><input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation<br><input type="checkbox"/> Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____<br><input type="checkbox"/> Landscape Table on CD | <input type="checkbox"/> After Allowance Communication to TC<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br>USPTO forms SB08A & B (four pages),<br>copies of 27 cited non-patent references,<br>Return Postcard |
|---|--|--|

Remarks The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Townsend and Townsend and Crew LLP		
Signature	<i>Paul C. Haughey</i>		
Printed name	Paul C. Haughey		
Date	7-29-05	Reg. No.	31,836

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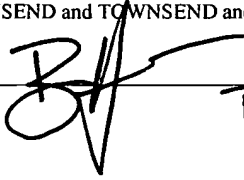
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On

August 1, 2005

TOWNSEND and TOWNSEND and CREW LLP

By

  
ROGER HyLTON



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Neil Young et al.

Application No.: 10/723,430

Filed: November 25, 2003

For: DIRECT WIRELESS POLLING OF  
MODEL TRAINS

Examiner: M. Horabik

Art Unit: 2635

INFORMATION DISCLOSURE  
STATEMENT UNDER 37 CFR §1.97 and  
§1.98

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the cited non-patent references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

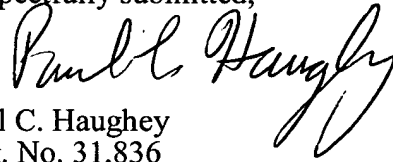
As provided for by 37 CFR §1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no

representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement.

However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,



Paul C. Haughey  
Reg. No. 31,836

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60527435 v1

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 4

**Complete if Known**

Application Number	10/723,430
Filing Date	November 25, 2003
First Named Inventor	Neil Young
Art Unit	2635
Examiner Name	M. Horabik
Attorney Docket Number	021755-000300US

**U.S. PATENT DOCUMENTS+**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Kind Code <sup>2</sup> (if known)			
	1	US-2005/0023416	02-03-2005	Wolf et al.	
	2	US-2004/0239268	12-02-2004	Grubba et al.	
	3	US-6,765,356	07-20-2004	Denen et al.	
	4	US-6,747,579	06-08-2004	Ireland	
	5	US-6,729,584	05-04-2004	Ireland	
	6	US-2004/0079841	04-29-2004	Wolf et al.	
	7	US-6,662,917	12-16-2003	Wolf et al.	
	8	US-6,655,640	12-02-2003	Wolf et al.	
	9	US-6,624,537	09-23-2003	Westlake	
	10	US-6,619,594	09-16-2003	Wolf et al.	
	11	US-6,604,641	08-12-2003	Wolf et al.	
	12	US-2003/0142796	07-31-2003	Ames	
	13	US-2003/0127570	07-10-2003	Ireland	
	14	US-6,545,886	04-08-2003	Ireland	
	15	US-6,539,292	03-25-2003	Ames	
	16	US-6,536,716	03-25-2003	Ireland et al.	
	17	US-6,533,223	03-18-2003	Ireland	
	18	US-6,533,224	03-18-2003	Ireland	
	19	US-6,513,763	02-04-2003	Ireland	
	20	US-2003/0015626	01-23-2003	Wolf et al.	
	21	US-6,491,263	12-10-2002	Sung et al.	
	22	US-6,485,347	11-26-2002	Grubba et al.	
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	31	US-6,220,552	04-24-2001	Ireland	
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	33	US-5,803,411	09-08-1998	Ackerman et al.	
	34	US-5,749,547	05-12-1998	Young et al.	
	35	US-5,678,789	10-21-1997	Pipich	
	36	US-5,638,522	06-10-1997	Dunsmuir et al.	
	37	US-5,492,290	02-20-1996	Quinn et al.	
	38	US-4,970,389	11-13-1990	Danforth et al.	
	39	US-4,349,196	09-14-1982	Smith et al.	

Examiner  
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60527435 v1

Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	10/723,430
				Filing Date	November 25, 2003
				First Named Inventor	Neil Young
				Art Unit	2635
Examiner Name	M. Horabik				
Sheet	2	of	4	Attorney Docket Number	021755-000300US

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
no foreign patents cited in this IDS								

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				Application Number	10/723,430
				Filing Date	November 25, 2003
				First Named Inventor	Neil Young
				Art Unit	2635
				Examiner Name	M. Horabik
Sheet	3	of	4	Attorney Docket Number	021755-000300US

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>	
	40	"Astrac lets you control 5 trains on one track, in different directions, at different speeds-simultaneously," product advertisement from General Electric Corporation in Model Railroader magazine (November 1963).		
	41	"DCC (Digital Command Control) Standards & Recommended Practices," National Model Railroad Association, Inc. (March 1997 - July 2004).		
	42	GUTIERREZ "Introducing the CTC-16: A 16-channel command control system you can build Part 1," Model Railroader pp. 64-67 (December 1997).		
	43	GUTIERREZ "The CTC-16: A 16-channel command control system you can build Part 2," Model Railroader pp. 86-93 (January 1980).		
	44	GUTIERREZ "The CTC-16: A 16-channel command control system you can build Part 3," Model Railroader pp. 89-92 (February 1980).		
	45	GUTIERREZ "The CTC-16: A 16-channel command control system you can build Part 4," Model Railroader pp. 89-93 (March 1980).		
	46	GUTIERREZ "The CTC-16: A 16-channel command control system you can build Part 5," Model Railroader pp. 71-77 (April 1980).		
	47	GUTIERREZ et al. "The CTC-16: epilogue," Model Railroader pp. 132-136 (December 1980).		
	48	GUTIERREZ "Build the wireless throttle 1," Model Railroader pp. 86-95 (March 1983).		
	49	GUTIERREZ "Build the wireless throttle 2," Model Railroader pp. 68-75 (April 1983).		
	50	"Infrared Control Unit," Model Railroader pp. 54-56 (March 1991).		
	51	PUSSEL $\mu$ P-programmable speed controller for model railways," Elektor (July/August 1979).		
	52	SPERANDEO "Commercial Command Control Systems," Model Railroader pp. 80-81 (November 1979).		
	53	WESTCOTT "Is Astrac on the right track?" Model Railroader pp. 33-37 (December 1963).		
	54	WIGMORE "The Digital Model Train Part 1," Elektor Electronics pp. 42+46 (February 1989).		
	55	WIGMORE "The Digital Model Train Part 2," Elektor Electronics pp. 50-53 (March 1989).		

Examiner Signature		Date Considered	
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		Application Number	10/723,430		
		Filing Date	November 25, 2003		
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		Examiner Name	M. Horabik		
Sheet	4	of	4	Attorney Docket Number	021755-000300US

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	56	WIGMORE "The Digital Model Train Part 3 , " Elektor Electronics pp. 14-18 (April 1989).	
	57	WIGMORE "The Digital Model Train Part 4 , " Elektor Electronics pp. 16-17 (May 1989).	
	58	WIGMORE "The Digital Model Train Part 5 , " Elektor Electronics pp. 56-59 (July/August 1989).	
	59	WIGMORE "The Digital Model Train Part 6 , " Elektor Electronics pp. 44-47 (September 1989).	
	60	WIGMORE "The Digital Model Train Part 7 , " Elektor Electronics pp. 21-24 (October 1989).	
	61	WIGMORE "The Digital Model Train Part 8 , " Elektor Electronics pp. 32-36 (November 1989).	
	62	WIGMORE "The Digital Model Train Part 9 - Keyboards , " Elektor Electronics pp. 24-28 (December 1989).	
	63	WIGMORE "The Digital Model Train Part 10 – RS232 Interface," Elektor Electronics pp. 38-43 (January 1990).	
	64	WIGMORE "The Digital Model Train Part 11 – The Monotor Unit," Elektor Electronics pp. 53-55 (February 1990).	
	65	WIGMORE "The Digital Model Train Part 12 – Address Display," Elektor Electronics pp. 52-54 (March 1990).	
	66	WIGMORE "The Digital Model Train Concluding Part," Elektor Electronics pp. 24-26 (April 1990).	

Examiner Signature		Date Considered	
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